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Customer No.:

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Docket No.: 09619/000L117US0

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroyuki Uwazumi

RECEIVED

OCT 0 2 2003

Serial No.:

10/037,475

**GROUP 1700** 

Confirmation No.: 5199

Filed:

January 3, 2002

For:

MAGNETIC RECORDING MEDIUM, METHOD FOR MANUFACTURING

A MAGNETIC RECORDING MEDIUM AND MAGNETIC RECORDING

DEVICE

\*\

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO/SB/08A and copies of the documents listed thereon.

All of these documents were either 1) not cited in a foreign counterpart of this application and not known for more than three months, or 2)

counterpart of this application and not known for more than three months, or 2) were first cited in a foreign counterpart of this application not more than three months ago.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO/SB/08A) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO/SB/08A next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued August 4, 2003 for the Singapore counterpart of the present patent application (Application No. SG 200107837-7), in which the presently disclosed documents were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R.

1.98.

Serial No. 10/037,475

Docket No. 9619/0L117US0

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 04-0100 for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: September 26, 2003

Joseph B. Robinson/Lours Oct Turos Co

Registration No. 33,448/47,522

Attorney for Applicant(s)

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Substitute for form 1449A/B/PTO						
INFORMATION DISCLOSURE				Application Number	10/037,475-Conf. #5199	7
				Filing Date	January 3, 2002	"MECE"
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Tadaaki Oikawa	CT
				Art Unit	1773 GD	2-7003
(Use as many sheets as necessary)			necessary)	Examiner Name	Louis V. Falasco	
Sheet	1	of	1	Attorney Docket Number	09619/000L117-US0	1700

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-6,020,060-B1	02-01-2000	Yoshida et al.		
	AB	US-6,150,016-B1	11-21-2000	Song et al.		
	AC	US-6,589,669-B2	07-08-2003	Uwazumi et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> -Number <sup>A</sup> -Kind Code <sup>5</sup> (if known)				
	ВА	JP-2000-311327-A1	11-07-2000	Oikawa Soichi et al.		x

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Ishida M. et al.; "High magnetic field sensitivity of TbFeCo layer and Pt/Co multilayers with an ultra-thin RE-rich RE-TM layer", IEEE Transactions on Magnetics, vol. 33, no. 5, pt.1, pp. 3229-3231, September 1997	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



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